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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	10/033,614
				Filing Date	December 26, 2001
				First Named Inventor	Albert K. Chin
				Art Unit	3731
				Examiner Name	Unassigned
Sheet	1	of	1	Attorney Docket Number	80121-06410

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
JD	A	US-6,464,712	10-15-2002	Epstein et al.
		US-		
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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

Examiner Signature	Jackson, Mary	Date Considered	June 28, 2004
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

80121/06410/DOCS/1306321.1

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Complete if Known

Application No.	10/033,614
Filing Date	December 26, 2001
First Named Inventor	Albert K. Chin
Art Unit	3731
Examiner Name	Unassigned
Attorney Docket Number	80121-06410

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Sheet 1 of 2

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U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS				
		Document No.		
Examiner Initials*	Cite No. ¹	Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
JG	A	US-6,409,739 B1	06-25-2002	Nobles et al.
	B	US-6,395,015 B1	05-28-2002	Borst et al.
	C	US-6,171,319	01-09-2001	Nobles et al.
	D	US-5,944,730	08-31-1999	Nobles et al.
	E	US-5,826,251	10-20-1998	Kiendl
	F	US-5,766,220	06-16-1998	Moenning
	G	US-5,749,883	05-12-1998	Halpern
	H	US-5,700,277	12-23-1997	Nash et al.
	I	US-5,690,674	11-25-1997	Diaz
	J	US-5,630,833	05-20-1997	Katsaros et al.
	K	US-5,620,461	04-15-1997	Muijs Van De Moer et al.
	L	US-5,593,422	01-14-1997	Muijs Van de Moer et al.
	M	US-5,545,178	08-13-1996	Kensey et al.
	N	US-5,496,332	03-05-1996	Sierra et al.
	O	US-5,413,571	05-09-1995	Katsaros et al.
	P	US-5,383,897	01-24-1995	Wholey
	Q	US-5,350,399	09-27-1994	Erlebacher et al.
	R	US-5,342,393	08-30-1994	Stack
	S	US-5,312,435	05-17-1994	Nash et al.
	T	US-5,258,000	11-02-1993	Gianturco
U	US-5,222,974	06-29-1993	Kensey et al.	
V	US-5,192,301	03-09-1993	Kamiya et al.	
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X	US-5,108,421	04-28-1992	Fowler	
Y	US-4,852,568	08-01-1989	Kensey	

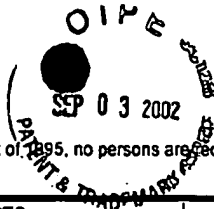
Examiner Signature	Jackson, Gary	Date Considered	June 28, 2004
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First Named Inventor	Albert K. Chin
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Attorney Docket Number	80121-06410

Sheet 2 of 2

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U.S. PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
48	Z	US-4784,928	01-03-1989	Kietschka
48	AA	US-4,744,384	05-17-1988	Kensey

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
48	BB	EP 0 894 475 A1 *	02-03-1999	MEDTRONIC, INC.	
48	CC	EP 0 544 485 A1 *	06-02-1993	Cook Incorporated	

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶

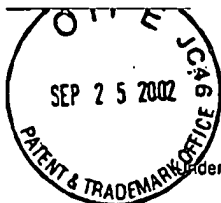
Examiner Signature	Jackson, Garg	Date Considered	June 28, 2004
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				First Named Inventor	Albert K. Chin
				Art Unit	3731
				Examiner Name	Unassigned
Sheet	1	of	1	Attorney Docket Number	80121-06410

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
<i>JS</i>	A	US-6,214,022	04-10-2001	Taylor et al.
<i>JS</i>	B	US-6,168,623	01-02-2001	Fogarty et al.
<i>JS</i>	C	US-5,797,933	08-25-1998	Snow et al.
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FOREIGN PATENT DOCUMENTS					
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Examiner Signature	<i>June 28, Jackson, Gary</i>	Date Considered	<i>June 28, 2004</i>
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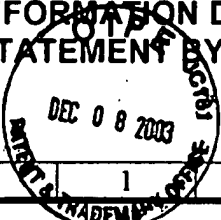
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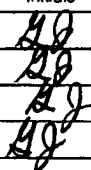
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		Application No.	10/033,614
		Filing Date	December 26, 2001
		First Named Inventor	Albert K. Chin
		Art Unit	3731
		Examiner Name	Not Yet Known
Sheet 1 of 1	Attorney Docket Number	80121-06410	

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-3,254,650	06-07-1966	Collito
	A2	US-3,774,615	11-27-1973	Lim et al.
	A3	US-4,352,358	10-05-1982	Angelchik
	A4	US-4,721,109	01-26-1988	Healey
				RECEIVED DEC 11 2003 TECHNOLOGY CENTER #3700

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ⁶

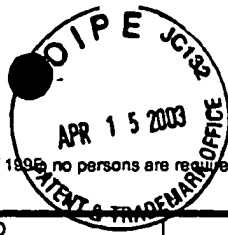
Examiner Signature	<i>Jackson, Gary</i>	Date Considered	<i>June 28, 2008</i>
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80121/06410/DOCS/1396061.1



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/033,614
Filing Date	December 26, 2001
First Named Inventor	Albert K. Chin
Art Unit	3731
Examiner Name	Unassigned
Attorney Docket Number	80121-06410

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
<i>AG</i>	A	US-3,993,078	11-23-1976	Bergentz et al.
		US-		
		US-		
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FOREIGN PATENT DOCUMENTS

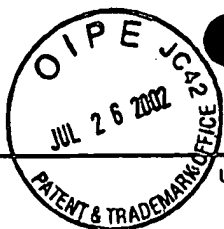
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

Examiner Signature	<i>Jackson, Gary</i>	Date Considered	<i>June 28, 2004</i>
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Sheet 1 of 1

FORM PTO-1449
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney's Docket No.

80121-06410

Serial No.

10/033,614

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant

Albert K. Chin et al.

Filing Date

December 26, 2001

Group Art Unit

3731

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AG	A	5,944,730	08/31/99	Nobles et al.	606	151	03/06/98
	B	5,766,220	06/16/98	Moenning	606	213	02/29/96
	C	5,700,277	12/23/97	Nash et al.	606	213	05/12/95
	D	5,690,674	11/25/97	Diaz	606	213	07/02/96
	E	5,630,833	05/20/97	Katsaros et al.	606	213	03/24/95
	F	5,593,422	01/14/97	Muijs Van de Moer et al.	606	213	01/06/95
	G	5,496,332	03/05/96	Sierra et al.	606	139	10/20/94
	H	5,395,383	03/07/95	Adams et al.	606	151	01/27/94
	I	5,383,896	01/24/95	Gershony et al.	606	213	05/25/93
	J	5,350,399	09/27/94	Erlebacher et al.	606	213	10/07/92
	K	5,342,393	08/30/94	Stack	606	213	08/27/92
	L	5,330,446	07/19/94	Weldon et al.	604	271	06/16/92
	M	5,222,974	06/29/93	Kensey et al.	606	213	11/08/91
	N	5,122,122	06/16/92	Allgood	604	174	11/22/89
AG	O	4,744,364	05/17/88	Kensey	128	334	02/17/87

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		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
AG	P	EP 0 894 475 A1	02/03/99	Europe	A61B	17/00	Yes	
AG	Q	EP 0 544 485 A1	06/02/93	Europe	A61B	17/00	Yes	

EXAMINER

Jackson, Mary

DATE CONSIDERED

June 26, 2004

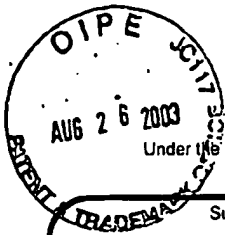
EXAMINER: Initial if references considered whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0851-0031
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Sheet	1	of	6		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
GJ		US-2003/0065347 A1	04-03-2003	Gifford, III et al.	
		US-D472,318 S	03-25-2003	Solem	
		US-2003/0023251 A1	01-30-2003	Gifford, III et al.	
		US-6,491,705 B2	12-10-2002	Gifford, III et al.	
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		US-2002/0173809 A1	11-21-2002	Fleischman et al.	
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		US-6,387,105 B1	05-14-2002	Gifford, III et al.	
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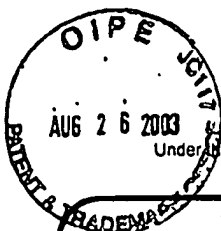
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Sheet	2	of	6
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AJ		US-2002/0029007 A1	03-07-2002	Bryan et al.
		US-2002/0019643 A1	02-14-2002	Gifford, III et al.
		US-2001/0001122 A1	05-10-2001	Gifford, III et al.
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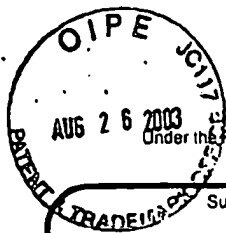
Examiner Signature	<i>Jackson, Gary</i>	Date Considered	<i>June 28, 2004</i>
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80121/06410/DOCS/1370903.1



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/033,614
Filing Date	December 26, 2001
First Named Inventor	Albert K. Chin
Art Unit	3731
Examiner Name	Unassigned
Attorney Docket Number	80121-06410

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Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
AG		US-6,007,495	12-28-1999	Matula
		US-5,984,940	11-16-1999	Davis et al.
		US-5,910,153	06-08-1999	Mayenberger
		US-5,904,697	05-18-1999	Gifford, III et al.
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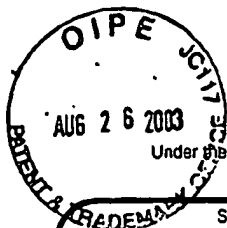
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Examiner Signature	Jackson, Gary	Date Considered	June 28, 2004
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Examiner Name	Unassigned				
Attorney Docket Number	80121-06410				
Sheet	5	of	6		

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		US-3,394,699	07-22-1985	Koett
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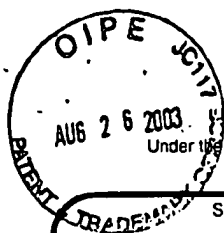
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
AJ		WO 03/030753 A1	04-17-2003	Genzyme Corporation	RECEIVED AUG 28 2003 TECHNOLOGY CENTER R3700
		WO 02/47561 A1	20-06-2002	By-Pass, Inc.	
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AJ		WO 00/59380	10-12-2000	Coalescent Surgical, Inc.	

Examiner Signature	<i>James Jackson, Gary</i>	Date Considered	<i>June 28, 2004</i>
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				Examiner Name	Unassigned
Sheet	6	of	6	Attorney Docket Number	80121-06410

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² - Number ³ Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁵
AJ		WO 00/56228	09-28-2000	By-Pass, Inc.	
		WO 99/62415	12-09-1999	By-Pass Ltd.	
		WO 98/42262	10-01-1998	Perclose, Inc.	
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		WO 97/13463	04-17-1997	Transvascular, Inc.	
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		WO 92/12676	08-06-1992	WISAP Gesellschaft Fur Wissenschaftlichen Apparatebau MBH	
		WO 92/08513	05-29-1992	Interventional Thermodynamics, Inc.	
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		EP 0 882 429 A1	12-09-1998	Atrion Medical Products, Inc.	
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AJ		Mahieu, F.M., Alexandre, B., Bettendorf, P., and Muylder, Ch.DE, "Use Of The Brock Punch For Confection Of A Shunt Between Ventricles, A Ventricle And Pulmonary Artery Or A Ventricle And The Aorta Without Cardio-Pulmonary By-Pass (CPB)," Acta Chirurgica Belgica, Belgian Surgical Society, March 1975, No. 2, pp. 160-164.	
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Examiner Signature	<i>Jackson, Gary</i>	Date Considered	<i>June 28, 2004</i>
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